

**Patent Application  
Attorney Docket No. 04MV1073**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

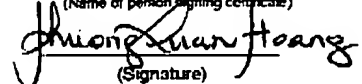
Inventor: **Iyengar, Sridhar Srinivasa**  
Application No.: **09/345,289**  
Filing Date: **06/30/1999**  
Examiner: **Anyia, Charles E.**  
Art Unit: **2126**  
Title: **A Metadata Driven System And Method For  
Effecting Extensible Data Interchange Among  
Software Tools In A Distributed Environment**

**CERTIFICATE OF TRANSMISSION  
under 37 CFR 1.8**

I hereby certify that this  
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(Name of person signing certificate)

  
(Signature)

**June 02, 2003**  
(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**DECLARATION UNDER 37 C.F.R. 1.132**

This declaration under 37 C.F.R. 1.132 is accompanying a response to the Final Office Action mailed on 12/31/2002 regarding the above-identified application.

As the sole named inventor of the above-identified application, I hereby declare the following:

1. I was the first to introduce the concept of using UML, MOF and XML together to enable data interchange in a standardized way among software tools and repositories that may not be compliant to a common standard.
2. The relevant portions of the reference "XML Metadata Interchange (XMI), Proposal to the OMG OA&DTF RFP 3: Stream-based Model Interchange Format (SMIF)" dated July 6, 1998, cited by the Examiner as "XMI", originated with me. This reference was written under my leadership.

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This reference is a proposal that was jointly submitted to the Object Management Group Inc. (OMG) on July 6, 1998 by Unisys Corporation, IBM Corporation, Oracle Corporation and Platinum Technology, Inc. I was recognized by the OMG (as evidenced by OMG's statements posted at the web link [http://www.omg.org/news/pr99/speaker\\_bios.html](http://www.omg.org/news/pr99/speaker_bios.html)) as one of the key drivers behind the Object Management Group (OMG) Meta Object Facility (MOF) and XML Metadata Interchange (XMI) specifications, which form the core of OMG repository efforts. I represented Unisys Corporation at the OMG, where I co-chaired the Object Analysis & Design Task Force, which standardized UML, the MOF and XMI.

3. The relevant portions of the revised XMI Proposal dated November 11, 1998 also originated with me.

4. I also directly participated in defining and editing the Request for Proposal OMG Document ad/97-12-03 (cited by the Examiner as "Framingham"). The relevant portions of "Framingham" cited by the Examiner (page 20 lines 10-22) originated with me.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

5/23/2003

  
Sridhar Srinivasa Iyengar

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